

聚合金融服務有限公司 Future Growth Financial Services Limited (AUE994)
 聚合資產管理有限公司 Futur Growth Asset Management Limited (AUS318)

地址 Address : 中環干諾道中19-20號馮氏大廈17樓
 17/F, Fung House, 19-20 Connaught Road Central, Centeal
 電話 Tel : (852) 3892 6010
 傳真 Fax : (852) 3749 5123
 電郵 E-mail : cs@fgfinance.hk

帳戶開戶表格 (公司)

ACCOUNT OPENING (CORPORATE)

- 請在簽署本表格前仔細閱讀《客戶協議書》、有關交易政策及收費表
 ➤ Please read the Client Agreement, relevant Trading Policy and Fee Schedule before you sign this form

1. 帳戶類別 Type of Account

| | 賬戶號碼 | 開戶日期 |
|---|-------|-------|
| <input type="checkbox"/> 港股現金帳戶 Securities Cash Account (Hong Kong Market) | _____ | _____ |
| <input type="checkbox"/> 港股保證金帳戶 Securities Margin Account (Hong Kong Market) | _____ | _____ |
| <input type="checkbox"/> 環球股票現金帳戶 Securities Cash Account (Global Market) | _____ | _____ |
| <input type="checkbox"/> 環球股票保證金帳戶 Securities Margin Account (Global Market) | _____ | _____ |
| <input type="checkbox"/> 香港期貨帳戶 Hong Kong Futures Account | _____ | _____ |
| <input type="checkbox"/> 環球期貨帳戶 Global Futures Account | _____ | _____ |
| <input type="checkbox"/> 全權委託投資管理賬戶 Discretionary Investment Management Account | _____ | _____ |

委託/落盤方式 Method of Placing Order

- ☐ 網上交易（即電子交易服務）By Electronic

選擇使用電子交易服務。本人已經閱讀並明白客戶協議書附錄-電子服務協定的條款，並接受這些條款的約束。

If the Client undertakes electronic trading as the trading method, the Client understands and agrees to be bound by those terms set out in the client agreement Schedule.

* 網上交易（即電子交易服務）可買賣之投資產品範圍存有限制，以系統實際提供為準。

The investment products available for electronic trading are limited and subject to the actual operation of the trading system.

2. 公司資料 Corporate Information

| | |
|--|--|
| 公司名稱 Company Name | |
| 註冊地址 Registered Address | |
| 業務地址（如適用） Business Address(If applicable) | |
| 通訊地址 Mailing Address | |
| <input type="checkbox"/> 與業務位址相同 same as Business Address <input type="checkbox"/> 與註冊位址相同 same as Registered Address <input type="checkbox"/> 其他 Others: _____ | |
| 註冊成國家／地區 Place of establishment | 註冊成立日期 Establishment Date |
| 註冊編號／營業執照號碼 Incorporation No. | 商業登記編號（如有）／稅務登記證號碼 Business Registration No. (if any) |
| 業務性質／經營範圍 Business Nature | |
| 經紀牌照號碼（只供綜合帳戶填寫） Broker license no. (omnibus only) | 牌照發出地點（只供綜合帳戶填寫） License issue place (omnibus only) |
| 公司電話 Office Tel. | 手提電話 Mobile. |
| 電子郵件 Email | 傳真 Fax |

3. 董事資料 All Directors

| 董事姓名 Name of Director | 證件類型 Type of certificate | 證件號碼 ID Card No./ Passport No. |
|--------------------------|-----------------------------|-----------------------------------|
| | | |
| | | |
| | | |

4. 股東資料（持有 10%或以上股權） Major Shareholder(s) (Shareholding of 10% or more)

| 姓名/名稱 Name | 股權比例 Shareholding (%) | 住宅地址/註冊地址 Home Address / Registered Address |
|---------------|--------------------------|--|
| | | |
| | | |
| | | |

5. 最終擁有權*（不適用於上市公司） Ultimate Ownership (not applicable to listed company)

| 最終實益擁有人 Beneficial(s) | 權益百分比 % of interest | 住宅地址 Home Address |
|--------------------------|------------------------|----------------------|
| | | |
| | | |
| | | |

* 如最終實益擁有人與上述股東不同，請提供最終實益擁有人之資料

Please provide details of ultimate ownership if they are different from the above shareholder(s).

6. 通知和帳戶結單 Trading confirmations and Statements

A. 語言 Language, 只選擇一項 *Please select one only* ☐ 中文 Chinese 或 or ☐ 英文 English

B. 遞送方法 Delivery Method, 只選擇一項 *Please select one only*

☐ 電郵 By Email 或 or ☐ 郵寄至業務地址 By Post to Business Address

(*如選擇郵寄，公司會加收郵遞及行政費用。 Postal service will be subject to administrative and service charges.)

7. 財務狀況 Financial Status

A. 法定股本 Authorized Capital (HKD):

B. 已繳股本（港元） Paid Up Capital (HKD):

C. 流動資產（港元） Liquid Assets (HKD), 只選擇一項 *Please select one only*

☐ ≤ \$500,000 ☐ \$500,001-\$1,000,000 ☐ \$1,000,001-\$5,000,000
☐ \$5,000,001-\$10,000,000 ☐ \$10,000,001-\$50,000,000 ☐ ≥\$50,000,001

D. 資產淨值（港元） Asset Net Worth (HKD), 只選擇一項 *Please select one only*

☐ ≤ \$500,000 ☐ \$500,001-\$1,000,000 ☐ \$1,000,001-\$5,000,000
☐ \$5,000,001-\$10,000,000 ☐ \$10,000,001-\$50,000,000 ☐ ≥\$50,000,001

E. 最新年度溢利（港元） Latest Annual Profit (HKD), 只選擇一項 *Please select one only*

☐ ≤ \$500,000 ☐ \$500,001-\$1,000,000 ☐ \$1,000,001-\$5,000,000
☐ \$5,000,001-\$10,000,000 ☐ \$10,000,001-\$50,000,000 ☐ ≥\$50,000,001

F. 財富來源 Source of Wealth

☐ Business Income /營業收入 ☐ Sale of Property/Assets 出售物業/資產
☐ Sale of Investments 投資回報 ☐ Shareholders' fund 股東資金
☐ Others 其他: _____

8. 投資目的及經驗 Investment Objective & Experience

A. 投資目標 Investment Objective，可選擇多項 Please select at least one

- ☐ 對沖 Hedging ☐ 資本增值 Capital Gain ☐ 股息收入 Dividend Income ☐ 短期 Short Term
☐ 中期 Medium Term ☐ 長期 Long Term ☐ 其他，請說明 Others, Please Specify _____

B. 投資經驗及投資經驗年期 Investment Experience and Years of Investment Experience

*請說明每一類產品的投資經驗 Please tick one only for every following products

| | | | |
|--------------------------------------|--|--|---|
| 股票 Stocks | <input type="checkbox"/> 少於1 < 1 year <input type="checkbox"/> 多於10年 > 10 years | <input type="checkbox"/> 1至5年 1-5 years <input type="checkbox"/> 從沒經驗 No experience | <input type="checkbox"/> 6至10年 6-10 years |
| 債券或基金 Bonds or Funds | <input type="checkbox"/> 少於1 < 1 year <input type="checkbox"/> 多於10年 > 10 years | <input type="checkbox"/> 1至5年 1-5 years <input type="checkbox"/> 從沒經驗 No experience | <input type="checkbox"/> 6至10年 6-10 years |
| 外匯或黃金 Forex or Bullion | <input type="checkbox"/> 少於1 < 1 year <input type="checkbox"/> 多於10年 > 10 years | <input type="checkbox"/> 1至5年 1-5 years <input type="checkbox"/> 從沒經驗 No experience | <input type="checkbox"/> 6至10年 6-10 years |
| 保證金交易 Securities Margin Financing | <input type="checkbox"/> 少於1 < 1 year <input type="checkbox"/> 多於10年 > 10 years | <input type="checkbox"/> 1至5年 1-5 years <input type="checkbox"/> 從沒經驗 No experience | <input type="checkbox"/> 6至10年 6-10 years |
| 牛熊證 CBBC | <input type="checkbox"/> 少於1 < 1 year <input type="checkbox"/> 多於10年 > 10 years | <input type="checkbox"/> 1至5年 1-5 years <input type="checkbox"/> 從沒經驗 No experience | <input type="checkbox"/> 6至10年 6-10 years |
| 衍生權證（窩輪） Warrants | <input type="checkbox"/> 少於1 < 1 year <input type="checkbox"/> 多於10年 > 10 years | <input type="checkbox"/> 1至5年 1-5 years <input type="checkbox"/> 從沒經驗 No experience | <input type="checkbox"/> 6至10年 6-10 years |
| 期貨 Futures | <input type="checkbox"/> 少於1 < 1 year <input type="checkbox"/> 多於10年 > 10 years | <input type="checkbox"/> 1至5年 1-5 years <input type="checkbox"/> 從沒經驗 No experience | <input type="checkbox"/> 6至10年 6-10 years |
| 期權 Options | <input type="checkbox"/> 少於1 < 1 year <input type="checkbox"/> 多於10年 > 10 years | <input type="checkbox"/> 1至5年 1-5 years <input type="checkbox"/> 從沒經驗 No experience | <input type="checkbox"/> 6至10年 6-10 years |
| 其他，請說明 Others, Please Specify | <input type="checkbox"/> 少於1 < 1 year <input type="checkbox"/> 多於10年 > 10 years | <input type="checkbox"/> 1至5年 1-5 years <input type="checkbox"/> 從沒經驗 No experience | <input type="checkbox"/> 6至10年 6-10 years |

9. 客戶對衍生產品的認識 Client's Knowledge of Derivative Products

我們明白公司將根據以下提供的資料以評估客戶是否對衍生工具產品有認識。 The Client understands the Company will refer to the below information provided to assess whether the Client has adequate knowledge on derivative products.

| |
|---|
| 1. 客戶是否特設職能負責為其作出投資決定？ Has the Client a specialised function responsible for making investment decisions? <input type="checkbox"/> 是 Yes，請說明 Please Specify _____ <input type="checkbox"/> 否 No |
| 2. 客戶負責投資的管理層是否曾接受有關衍生產品的培訓或修讀相關課程？ Has the Client's Management (with duty of making investment decision) ever undergone training or attended courses on derivative products? <input type="checkbox"/> 是 Yes，請說明 Please Specify _____ <input type="checkbox"/> 否 No |
| 3. 客戶負責投資的管理層是否現時或過去有否擁有衍生產品相關工作經驗？ Has the Client's Management (with duty of making investment decision) current or previous work experience related to derivative products? <input type="checkbox"/> 是 Yes，請說明 Please Specify _____ <input type="checkbox"/> 否 No |
| 4. 客戶是否在過去三年曾執行過至少五次任何衍生產品的交易（不論是否在交易所買賣）？ Has the Client executed at least 5 transactions in any derivative products (whether traded on an exchange or not) within the past 3 years? <input type="checkbox"/> 是 Yes，請說明 Please Specify _____ <input type="checkbox"/> 否 No |

- ☐ 本公司（客戶）有接受衍生產品的培訓或擁有相關工作/進行交易的經驗。 Our Company (the Client) has experience and/or knowledge on derivative product(s).
- ☐ 本公司（客戶）沒有上述經驗，但已細閱、同意及明白所有關於衍生產品的風險聲明，本公司（客戶）明白於買賣有關衍生產品前必須先具備足夠的認識，並願意接受一切有關的風險。 Our Company (the Client) does not have the above experience and/or knowledge on derivative product(s), but our Company (the Client) confirm that our Company (the Client) fully read, agreed and understood the relevant risks of the derivative product(s). Our Company (the Client) understand that our Company (the Client) have to acquire enough understanding on derivative product(s) before trading them and fully accept all relevant risks.

聚合金融服務有限公司已根據以上的資料對客戶進行評估，並已通知客戶有關衍生產品前必須先具備足夠的認識，及提示客戶有關衍生產品之性質及風險。 Future Growth Financial Services Limited has accessed the Client based on the information provided above, and have notified the Client that it is compulsory to understand the derivative products before trading them. Future Growth Financial Services Limited has also warned the Client about the nature and risk of the derivative products.

10. 銀行戶口資料 Bank Account Information

如果客戶想將提款直接地存放入客戶的香港銀行帳戶,請於以下提供詳細資料:

If the Client would like the client's withdrawal request to be deposited into the bank account in name of client directly, please specify the details as below:

| 銀行名稱 Bank Name | 銀行戶口號碼 Bank Account No. | 貨幣 Currency |
|----------------|-------------------------|-------------|
| | | 港元 HKD |
| | | 人民幣 CNY |
| | | 美元 USD |

11. 帳戶操作 Account Operation

A. 交易指示 Trading Instruction

任何一名獲授權人士可給予口頭交易指示 Any one of the authorized persons giving oral trading instructions

| 獲授權人士姓名 Name of authorized Person | 身份證/護照號碼 ID/Passport no. | 電話 Phone | 簽名樣式 Specimen signature |
|--------------------------------------|-----------------------------|-------------|----------------------------|
| | | | |
| | | | |
| | | | |

B. 書面指示/授權簽署安排 Written Instruction/ Signing Arrangement

| 獲授權人士姓名 Name of authorized person | 身份證/護照號碼 ID/Passport no. | 電話 Phone | 簽名樣式 Specimen signature |
|--------------------------------------|-----------------------------|-------------|----------------------------|
| | | | |
| | | | |
| | | | |

公司印章樣式 Specimen of Company Chop

- ☐ 任何以上_____名獲授權人士可簽署有關該帳戶或因該帳戶而引起之書面指示, 包括但不限於付款及交付指示, 並在需要時加蓋公司印章。

Any written instructions (if necessary, together with company chop) given by any_____of the above person(s) sign singly/jointly shall be valid for operating the account and not limited to giving payment instructions.

- ☐ 特別簽名安排(如有), 請說明

Special signature arrangement (if any), Please specify:_____

注意: 就上述授權人士/簽署人而言, 聚合金融服務有限公司有權假定任何上述有關人士具有全面及不受限制之權力及授權, 可代客戶執行上文所列作為, 並無任何責任核實有關人士的指示或身份是否真確。客戶同意受上述人士代客戶所發出的指示約束。**Note:** With respect to the above authorized persons / signatories Future Growth Financial Services Limited shall be entitled to assume that any of them has full and unrestricted powers and authority to perform the acts listed above on behalf of the Client and shall not be under any duty to verify the authenticity of the instructions or identity of such person(s). The client agrees to be bound by instructions given on behalf of the Client by the above person(s).

請提交一份公司決議或授權書的證明書, 註明獲授權簽署人名單(身分信息及職銜), 簽署安排及獲授權簽署人的簽名式樣。Please provide a certification of the Resolution or Mandate with list of Authorized Persons (identity information and title), signing instructions and the signature specimen of the Authorized Person(s).

12. 聲明 Declarations

A. 身份聲明 Identity Declarations

| |
|---|
| <p>1. 客戶上述的任何股東／董事、客戶的獲授權人、最終負責就帳戶發出指示的人士或帳戶的最終實益擁有人（在每一情況下，稱為「相關人士」）是否為聯交所或期交所之交易所參與者或證監會之持牌人或註冊人之董事、雇員或認可人士？Is any of the above-mentioned shareholders/directors of the Client, the Client's authorized persons, the person ultimately responsible for giving Instructions for the Account or the ultimate beneficial owner of the Account (in each case, a "Relevant Person"), a director or employee or accredited person of an exchange participant of the Stock Exchange or Futures Exchange, or a licensed or registered person of the Securities and Futures Commission ("SFC")?</p> <p><input type="checkbox"/> 否 No <input type="checkbox"/> 是，請提供雇主書面同意書 Yes, Please provide employer's written consent letter</p> |
| <p>2. 客戶上述的任何股東／董事、客戶的獲授權人、最終負責就帳戶發出指示的人士或帳戶的最終實益擁有人，是否為聚合金融服務有限公司及相聯公司(例如聚合資產管理有限公司)之職員／代表，或與職員／代表有親屬關係？Is any of the above-mentioned shareholders/directors of the Client, the Client's authorized persons, the person ultimately responsible for giving Instructions for the Account or the ultimate beneficial owner of the Account, employee/representative or a relative of any employee/representative of Future Growth Financial Services Limited or its associated company?</p> <p><input type="checkbox"/> 否 No <input type="checkbox"/> 是，請說明 Yes, Please Specify _____</p> |
| <p>3. 客戶特此聲明及確認The Client hereby declares and confirms that</p> <ul style="list-style-type: none"> - 客戶沒有單獨或與配偶共同控制聚合金融服務有限公司其他保證金帳戶持有人35%或以上之表決權The Client, either alone or with his/her spouse, is not in control of 35% or more of the voting rights of another margin client of Future Growth Financial Services Limited. - 客戶並沒有以客戶的同一集團旗下之其他公司在聚合金融服務有限公司開立保證金帳戶There is no margin account with Future Growth Financial Services Limited that is opened by a member of the same group of companies of the Client. - 客戶並沒有與其他聚合金融服務有限公司保證金客戶有所關聯（詳見《證券保證金融資活動指引》）。如有變更，客戶會通知聚合金融。The Client does not belong to any group of connected margin clients as defined by Guidelines for Securities Margin financing Activities. The Client undertakes to notify Future Growth Financial Services Limited for any change of circumstances. |

B. 防止洗黑錢／恐怖分子籌資 Anti-Money Laundering and Counter-Terrorist Financing

| |
|---|
| <p>4. 客戶的任何股東／董事、客戶的獲授權人、最終負責就帳戶發出指示的人士或帳戶的最終實益擁有人是否擔任或曾擔任重要公職（包括國家元首、政府首長、資深從政者、高級政府、司法或軍事官員、國有企業高級行政人員及重要政黨幹事）；或以上人士之家人（包括配偶、伴侶、子女或父母，或該名個人的子女的配偶或伴侶）及其他關係密切的人？Are any of the above-mentioned shareholders/directors of the Client, the Client's authorized persons, the person ultimately responsible for giving Instructions for the Account or the ultimate beneficial owner of the Account, linked to politically exposed persons? (Politically exposed person individual who is or has been entrusted with prominent public function e.g. head of state/government, senior politician, senior executive of government-owned corporation, important political party official, etc.)</p> <p><input type="checkbox"/> 否 No <input type="checkbox"/> 是，請說明 Yes, Please Specify _____</p> |
| <p>5. 客戶的業務性質是否特別容易蒙受較高的洗錢／恐怖分子資金籌集風險或接觸大量現金往來（如貨幣兌換、博彩業、珠寶業、娛樂服務業）？Is the nature of your business particularly susceptible to money laundering risk/terrorist financing? (For example, money changer or casino business that handles large amount of cash)</p> <p><input type="checkbox"/> 否 No <input type="checkbox"/> 是，請說明 Yes, Please Specify _____</p> |
| <p>6. 客戶資金是否來源於或涉及非法所得？Is your money arising from or related to proceeds of crime?</p> <p><input type="checkbox"/> 否 No <input type="checkbox"/> 是，請說明 Yes, Please Specify _____</p> |
| <p>7. 客戶是否曾於其他金融機構開立戶口時被拒絕？Have you ever had any account opening application rejected by other financial institutions?</p> <p><input type="checkbox"/> 否 No <input type="checkbox"/> 是 Yes</p> |
| <p>8. 客戶的控股股東居住的國家是否非財務行動特別組織的成員；又或客戶的業務是否位于非財務行動特別組織的成員(財務行動特別組織成員包括: 阿根廷, 澳大利亞, 奧地利, 比利時, 巴西, 加拿大, 中國, 丹麥, 芬蘭, 法國, 德國, 希臘, 香港, 冰島, 印度, 愛爾蘭, 以色列, 意大利, 日本, 韓國, 盧森堡, 馬來西亞, 墨西哥, 荷蘭, 新西蘭, 挪威, 葡萄牙, 俄羅斯聯邦, 沙特阿拉伯, 新加坡, 南非, 西班牙, 瑞典, 瑞士, 土耳其, 英國, 美國, 歐盟委員會和海灣合作委員會)? Does the applicant or the controlling shareholder(s) of the applicant reside in a country that is not a member of the Financial Action Task Force ("FATF") ; or Is the business of the applicant located in a country that is not a member of the Financial Action Task Force ("FATF") (Members of FATF include: Argentina, Australia, Austria, Belgium, Brazil, Canada, China, Denmark, Finland, France, Germany, Greece, Hong Kong, Iceland, India, Ireland, Israel, Italy, Japan, Korea, Luxembourg, Malaysia, Mexico, Netherlands, New Zealand, Norway, Portugal, Russian Federation, Saudi Arabia, Singapore, South Africa, Spain, Sweden, Switzerland, Turkey, United Kingdom, United States, European</p> |

Commission, and Gulf Co-operation Council)?

☐ 否 No

☐ 是，請說明 Yes, Please Specify _____

*如須協助，可向客戶主任詢問 If you need assistance, you can ask the Customer Services

C. 客戶個人資料之使用及轉移 Use and Proving Client's Personal Data to Another Person

客戶是否已經閱讀及理解《個人資料收集聲明》，並同意個人資料被使用及/或轉移予集團其他成員公司用於直接促銷目的？

If the Client has read and understood the <Personal Data Collection Statements> and agreed the personal data to be used by the Company and/or to be provided to other Group members for direct marketing purpose?

☐ 同意 Yes

☐ 不同意 No

D. 客戶確認 The Client confirms that

1. 客戶同意及確認在本帳戶表格所填寫的資料均屬真實、完全及正確，並明白聚合金融服務有限公司協議書內的條款及條件以及交易政策所載的條文，並接受及同意受該等條款約束，客戶承諾，如客戶提供給聚合金融服務有限公司用於開戶的資料有任何的更改及必須立即通知聚合金融服務有限公司有關所有提供資料之變更。The Client agrees and confirms the information sets out in this Account Opening Form is true, complete and correct and understands all provisions set out in the Client Agreement and the Trading Policy and accepts and agrees to be bound thereby. The Client undertakes that it shall immediately inform the Company of any change of the aforesaid information.
2. 如客戶選擇電郵方式遞送帳戶結單和通知，客戶明白、同意並接受交易確認、帳戶結單及其他通信等服務將會通過電子郵件形式發出，客戶確認及接受電子通信服務的風險；If the Client undertakes email as the delivery method of statements and notices, the Client understands and agrees those transaction confirmations, account statements and others notices will be delivered by email method only. The Client acknowledges and agrees to accept the risks associated with the electronic notification method.
3. (除已有說明外) 本開戶表格所指名的申請人是帳戶及交易的最終實益持有人和最終發出交易指示的人；及 Otherwise specify, the Account Holder named in this Account Opening Form is the ultimate beneficial owner and give instructions of the Account and transaction.
4. 客戶謹此聲明其並非《海外帳戶稅收合規法案》下所定義的美國人士，而且此帳戶下之相關的收入依《海外帳戶稅收合規法案》毋須扣繳稅款。客戶承諾如於W8BEN表格中的情況有任何更改，客戶須通知聚合金融服務有限公司及相關部門，否則客戶同意該W8BEN表格會被當作續期。客戶亦同意悉數對聚合金融服務有限公司因客戶違規或遺漏而蒙受的任何索償、損害、損失、費用及開支作出彌償並保證其利益不受損害。The Client hereby declares that he or she is not a United States resident for Foreign Account Tax Compliance Act (FATCA) purposes, and the income to which the said Account(s) related is not subjected to tax under FATCA. The Client undertakes to notify Future Growth Financial Services Limited and relevant authorities for any change of circumstances stated in the W8BEN Form, without which the Client agrees that the W8BEN Form will be deemed renewed. The Client shall fully indemnify and hold harmless Future Growth Financial Services Limited from and against all claims, damages, losses, costs and expenses whatsoever incurred as a result of such non-compliance or omission.
5. 客戶知悉及確認以下持牌人士已按照客戶所選擇的語言向其提供及解釋風險披露聲明，客戶確認已獲邀請透過書面途徑提出問題及尋求獨立法律意見，並確認客戶已完全明白及接受所有上述的風險披露聲明。The Client acknowledges and confirms that the following Licensed Person has already explained the Risk Disclosure Statements in a language of the Client's choice. The Client confirms that the Client has been invited to ask questions in writing and seek independent legal advice, and that the Client fully understood and accepted all the aforesaid Risk Disclosure Statements.
6. 【保證金帳戶適用】客戶承認並確定客戶已經根據保證金客戶協定授權聚合金融服務有限公司處置協定內所定義的款項、證券及證券抵押品，並且客戶就該條款的内容已獲得解釋，及客戶明白該條款的内容；【Margin Account application】The Client acknowledges and confirms that the Client has authorized the Company to deal with Monies and securities or securities collateral in accordance with the Margin Account Agreement and the contents have been explained to the Client and the Client understands the content of that Clause.
7. 【全權委託投資管理賬戶適用】聚合資產管理有限公司（“聚合資產管理”）持有香港證監會頒發第4類（就證券提供意見）及第9類（提供資產管理）受規管活動牌照(中央編號:AUS318)，與聚合金融服務有限公司屬同一集團。客戶已閱讀過及明白提供的聚合資產管理之全權委託投資管理協議書條款而本檔乃該協議書的一部份。所有由客戶與或透過聚合資產管理進行之交易均受協議書條款文限制。客戶現申請開立上述類別的帳戶，並同意受可不時被修改或補充的該協議書條款包括其標準條款及細則及有關的附表所約束。客戶確認聚合資產管理已按照客戶選擇的語言(中文或英文)獲提供之風險披露聲明書，及已獲邀閱讀該風險披露聲明書、提出問題及徵求獨立的意見(如客戶有此意願)。

【Discretionary Investment Management Account application】Future Growth Asset Management Limited ("FGAM"), a licensed corporation (CE: AUS318) licensed by the Securities and Futures Commission to conduct Type 4(Advising on Securities) and Type 9 (Asset Management) regulated activities pursuant to the Securities and Futures Ordinance, Chapter 571 of the laws of Hong Kong and it is under the same group of companies as Future Growth Financial Services Ltd. The Client has read and understood the provisions of the attached current version of the Discretionary Investment Management Agreement of FGAM, of which this document forms a part. All transactions to be concluded by the Client with or through FGAM shall be subject to the terms and conditions of the Agreement. FGAM hereby applies to open the above type(s) of account and agree to be bound by the Agreement including its Standard Terms and Conditions and relevant Schedule(s) as the same may be amended or supplemented from time to time. The Client acknowledges and confirms that FGAM has provided the Risk Disclosure Statements annexed hereto in a language of client's choice (English or Chinese) and the Client has been invited to read the Risk Disclosure Statements, to ask questions and take independent advice if the Client wishes.


8. 客戶明白客戶所提交此開戶表格及聚合金融服務有限公司接納此開戶表格並非表示已經同意為客戶開立證券／期貨交易帳戶，聚合金融服務有限公司保留無條件謝絕客戶開戶申請之權利；如果客戶的申請被拒絕，客戶明白、同意並接受客戶的任何開戶申請文件將不予退回。

The Client understands and agrees application maybe rejected for reasons which are unrelated to the Client and the Client's application and neither the company nor the Company's agent shall, in the absence of fraud, negligence or willful default, be liable to the Client or any other person in consequence of such rejection and all application documents would not be returned.

9. 客戶同意聚合金融服務有限公司於銷售過程中參考客戶于聚合金融服務有限公司的戶口資料,以便聚合金融服務有限公司為客戶提

供相關投資產品／服務及／或投資相連保險資料。 The Client provides consent for the Company to make reference to our account information maintained with the company during the sales process in order to provide me with information in relevant investment product/service and/or investment linked insurance.

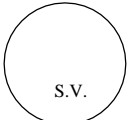
10. 客戶同意遵守開戶表格、客戶協議及附件之相關章節的所有條款及條件及其他由聚合金融服務有限公司不時發出的守則及指引內的所有條款及條件（統稱“該等條款”）開立此開戶表格第一項所列出的帳戶（“帳戶”）。該等條款已在客戶開設戶口時交給客戶，聚合金融服務有限公司已經建議客戶對上述的該等條款尋求獨立法律意見。客戶同意聚合金融服務有限公司有權要求客戶簽署該等條款所要求的任何文件。客戶於開立帳戶前已經細閱及完全明白所有該等條款的內容，客戶同意、接受及確認該等條款及條件，並同意及接納該等條款的約束。The Client agrees to open the account(s) listed in Section 1 herein (“Account(s)”) with the Company and is bound by the Account Opening Form, Client Agreement and the relevant Sections of the terms and conditions and all the provisions of the rules and guidelines promulgated by the Company from time to time (collectively referred to as “All Those Terms”). All Those Terms have been delivered to Client when account opening. The Client has already been advised by the Company to seek independent legal advice for All Those Terms. The Client agrees that the Company can request the Client to sign any documents as required under All Those Terms. Before opening the Account(s) with the Company, the Client has fully read and understood the contents of All Those Terms and the Client agrees, accepts and confirms all their provisions and agrees and accepts to be bound by All Those Terms.
11. 客戶謹此知悉及確認，已根據客戶協議及附件的《客戶指示及常設授權》，授權聚合金融服務有限公司處置客戶的金錢、證券、抵押品及保證金。客戶知悉及確認適用常設授權的內容及作用已獲清楚解釋，而客戶亦完全明白適用常設授權的內容及作用，及客戶已授權聚合金融服務有限公司有絕對的酌情權延續適用常設授權。The Client hereby acknowledges and confirms that the Client has authorized the Company to deal with the Client’s moneys, securities, the Collateral and the Margin upon and in accordance with the relevant part of Client’s Instructions and Standing Authority of the Client Agreement (the “Standing Authority”). The Client acknowledges and confirms that the contents and effects of the Standing Authority have been explained to the Client, and the Client fully understands the contents and effects of the Standing Authority; and the Client hereby authorizes the Company to renew the Standing Authority at their absolute discretion.
12. 客戶知悉及確認適用常設授權需要每年延續一次。客戶已授權聚合金融服務有限公司每年延續適用常設授權，客戶可提出反對。如聚合金融服務有限公司未收到客戶書面反對，則表示客戶同意及接受延續適用常設授權並繼續受其約束。The Client acknowledges and confirms the Standing Authority is required to be renewed annually. The Client has authorized the Company to renew the Standing Authority annually and the Client has the choice to reject the renewal. If no written objection is received by mail from the Client, it means the Client agrees and accepts the extension and to be bound by the renewed Standing Authority.

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| 客戶印鑒及獲授權人簽署 Company Chop & Signature  | 獲授權人全名（正楷） Name of Authorized person |
| | 日期 Date: |

13. 核證 Certification

*由本公司代表或專業人士填寫 To be completed by Representative of the Company or Professional

本人現證實：在此表格第13項所寫的日期當日，此表格乃由此表格所指明的申請人（其身份已根據其向本人出示之身份證或護照正本作核實）於本人面前簽訂。I hereby certify that on the date written in the Section 13, this Form was executed by Applicant named in this Form (who had been previously identified by production of the original of his / her Identity Card or Passport to me) in my presence.

| | |
|---|---------------------------------|
| 見證人簽署 Witness Signature  | 見證人姓名（正楷） Name : |
| | 見證人所屬專業*／職銜 Profession /Title : |
| | 見證人之僱主名稱 Employer Name : |
| | 日期 Date: |

*專業人士：公證人／持牌銀行分行經理／執業律師／執業會計師／太平紳士／公司秘書

Professional: Notary Public / Branch Manager of a Licensed Bank / Practising Lawyer / Certified Public Accountant / Justice of the Peace / Chartered Secretary

14. 風險披露聲明及確認 Risk Disclosure Statements & Acknowledgement

持牌人員聲明 Declaration by Licensed Person (由本公司填寫 To be completed by the Company)

下列簽署之持牌人員確認已按照客戶選擇的語言提供風險披露聲明，並邀請客戶閱讀該等風險披露聲明、提出問題及徵求獨立意見（如客戶有此意願）。The Undersigned licensed person of the Company confirms that the Client has been provided the Risk Disclosure Statements in a language of the Client's choice and has invited the Client to read the same, ask questions and take independent advice (if the Client wishes).

| | |
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| 本公司持牌人員簽名 Signature of Licensed Person | 姓名 Name : |
| | 中央編號 CE No.: |
| | 職位 Position: |
| | 日期 Date : |

15. 批核與簽署 Approval & Signature

代表 聚合金融服務有限公司

For and on behalf of Future Growth Financial Services Limited

授權簽名 Authorized Signature_____
職員姓名（正楷） Staff Name_____
日期 Date